

李寶珍 (PAO-CHEN LEE)

Email: lee_pao_chen@yahoo.com.tw & paochen@mail.knu.edu

➤ 學歷 (EDUCATION)

- 台灣銘傳大學會計學學士 B.A. in Ming Chuan University (Taiwan)
- 美國奧克拉荷馬市立大學會計學學士 B.A. in Accounting, Oklahoma City University (USA),
- 美國奧克拉荷馬市立大學會計學碩士 Master in Accounting, Oklahoma City University (USA),
- 英國曼徹斯特大學財務會計博士 (2006-2011) Ph.D. in Accounting & Finance Department, Manchester Business School, MANCHESTER UNIVERSITY (UK)

Thesis Title: Audit Committee as an Additional Supervisory Mechanism of Corporate Governance in China

➤ 現職 (CURRENT JOB)

- 專任助理教授(2011/09-2016/01) Assistant Professor in Department of Accounting, Kainan University
- 專任副教授(2016/02-迄今) Title: Associate Prof. in Kainan University, No.1, Kainan Road, Luzhu, Taoyuan County 33857, Taiwan Email: paochen@mail.knu.edu.tw

➤ 專業領域(PROFESSIONAL FIELDS)

- 主要領域: 審計/ 內部稽核 Primary field: Auditing/ Internal Audit/
- 次要領域: 會計 Secondary field(s): Accounting.
- 相關領域: 公司治理/內部控制/內部稽核/銀行實務/財報分析/財務管理 / 商務英語 Related fields: Corporate Governance/ Internal Control/ Banking Business/ Financial Analysis/ Financial Management/ English applied in Business

李寶珍 (PAO-CHEN LEE)

Email: lee_pao_chen@yahoo.com.tw & paochen@mail.knu.edu

發表著作 (PUBLICATIONS) I. Journals:

- 1. Lee, Pao-Chen. 2012. Effectiveness of Supervisory Boards In Coordination With Audit Committees In China. Chinese Business Review, ISSN 1537-1506. Vol. 11, No. 12, 1250-1263.
- 2. Lin, C-H and Lee, P-C. 2013. Comparison of Effectiveness between Two Supervisory Systems in China: With and without Audit Committees. Journal of Business and Economics, ISSN 2155-7950, USA. Volume 4, No. 10, pp. 928-947.
- 3. Lee, Pao-Chen. 2014. Better Effectiveness with Audit Committees: Evidence from China. Universal Journal of Accounting and Finance 2(4): 97-115.
- 4. Lee, Pao-Chen. 2014. The Effects of Audit Committees and Supervisory Boards: A Comparative Study. Universal Journal of Accounting and Finance 2(5): 136-150.
- 5. Lee, Pao-Chen. 2014. Should The Audit Committees Replace the Supervisors: The Evidence From Taiwan. International Journal of Science Commerce and Humanities 2(7): 65-82.
- 6. Lee, Pao-Chen. 2014. The Choice of Maintaining Supervisory Boards in Addition to Audit Committees in China: Journal of Business Administration and Management Science Research Vol. 3(7). PP. 130-147.
- 7. Lee, Pao-Chen. 2014. A Theoretical Analysis of the Audit Committee's Role in China.: Global Journal of Management and Business Research-Accounting and Auditing Vol. 14 , Issue 5, Version 1.0.
- 8. Lee, Pao-Chen. 2015. The Effects of Supervisory Mechanism in Taiwan: British Journal of Economics, Management & Trade 6(2): 86-101.
- 9. Lee, Pao-Chen. 2015. Problems of Implementing Audit Committee and Supervisory Board Simultaneously in China: Journal of Accounting, Auditing and Finance (JAAF) Vol. 30, No. 4. pp.509-528.
- 10. Lee, Pao-Chen. 2018. Overlapping Status of The Supervisory Functions

李寶珍 (PAO-CHEN LEE)

Email: lee_pao_chen@yahoo.com.tw & paochen@mail.knu.edu

Implementing Audit Committee and Supervisory Board Simultaneously in China. Research in Economics and Management, Vol. 3, No. 3, 263-287. (www.scholink.org/ojs/index.php/rem) (ISSN 2470-4407 (Print) ISSN 2470-4393 (Online))

● 11. Lee, Pao-Chen. 2019. Chinese Operations of Audit Committee and Supervisory Board - A case study: Archives of Business Research, Volume 7, Issue 1, 245-263. - Published: 2019-01-15 (DOI: <https://doi.org/10.14738/abr.11.2019>)

● 12. Lee, Pao-Chen. 2019. Chinese Supervisory Board - An Experiential Case. "Noble International Journal of Economics and Financial Research" Vol. 04, No. 03, pp: 19-33. (<http://napublisher.org/?ic=journals&id=2>) (ISSN(e): 2519-9730 ISSN(p): 2523-0565).

● 13. Lee, Pao-Chen. 2019. Chinese Supervisory Board and Audit Committee - The Comparison of Two Cases. International Journal of Finance and Accounting 8(1): 1-22. (ISSN: 2168-4812 e-ISSN: 2168-4820)

● 14. Lee, Pao-Chen. 2021. The Fraud at Luckin Coffee: The Rapid Rise and Downfall of China's Starbucks, has been accepted by Asian Case Research Journal on Sept. 14, 2021.

● 15. Lee, Pao-Chen. 2023/02, 瑞幸案例正向信息探討, 內部稽核協會季刊.

● 16. Lee, Pao-Chen. 2023/09, 瑞幸案例舞弊防制探討, 內部稽核協會季刊.

II. Books:

(1) Lee, Pao-Chen. 2014. The Establishment of Audit Committees in China and Taiwan: A Comparative Study." LAP LAMBERT Academic Publishing. (Both Quantitative and Qualitative Analysis) • ISBN-978-3-659-55031-7

(2) Wang, Y-T (王滢婷); Lee, P-C (李寶珍); Yang, T-Y (楊德庸). 2015. 審計學 (Auditing). Open University, Taiwan. ISBN-978-957-661-996-0

(3) Lin, C-H (林基煌); Lee, P-C (李寶珍), 2016, Financial Management (財務管理), 新陸書局, ISBN-13-978-5761-91-2

李寶珍 (PAO-CHEN LEE)

Email: lee_pao_chen@yahoo.com.tw & paochen@mail.knu.edu

(4) Yang, T-Y(楊德庸); Lee, P-C 李寶珍), 2016, 36 compulsory courses of the Corporate Law for the employees in the financial institutions.(金融人員公司法必修 36 堂課, 2016), 財團法人台灣金融研訓院, ISBN-978-986-399-034-.

(5) Lee, Pao-Chen. 2021, Internal Control and Internal Audit of Financial Institutions(銀行的 內部控制與內部稽核) ,Taiwan Academy of Banking and Finance(財團法人台灣金融研 訓院), ISBN-978-986-399-221-9. (負責第一篇內部控制全篇及第二篇之消金業務)

(6) Lee, Pao-Chen.2021, THE SUPERVISORY MECHANISM OF CORPORATE GOVERNANCE, Audit Committee and Supervisory Board, in China, has been accepted by Ethics International Press and scheduled to publish in Jan. 2022.

(7) Lee, Pao-Chen. 李寶珍 2022, Internal Audit(內部稽核), 翰蘆圖書出版有限公司, ISBN-978-626-95572-6-4 Basic Accounting. CTS Publishing

● Standard Textbook for the Supplementary Junior College of National Open University, introducing concepts of accounting in a clear manner applicable to work situations, helping students overcome obstacles in learning, and inspiring an interest in the subject. Internal Control. TABF Publishing

● A book preparing students for the licensing exam for internal control of financial institutions and for training of personnel. Currently in its sixth edition, it is the only exam preparation book in this field in Taiwan, a great help for Taiwan's financial institutions. Internal Control and Self Auditing – two chapters. TABF Publishing

● This is one of few books in Taiwan introducing a complete view of the operations and internal control of the credit card industry. The book is study material for the internal control licensing exam and personnel training as well as a reference for self-auditing in the credit card industry. Various articles on applied accounting and answers to accounting questions. Issues 79 – 100 in the periodical of Supplementary Junior College of NOU

● Helping people who have not studied accounting understand the field and its

李寶珍 (PAO-CHEN LEE)

Email: lee_pao_chen@yahoo.com.tw & paochen@mail.knu.edu

applications and answering questions for accounting students. Internal Control for the Credit Card Industry. An article published in the periodical of the Institute of Internal Auditors

● Helping internal auditors understand internal control in the credit card industry. Lecture handouts for lectures given at the Taiwan Academy of Banking and Finance, Joint Training Association for Members of Farmers' Organizations, and other institutions

● Combining theory and practice to help students understand the reasoning and techniques behind internal control and internal auditing applications.

➤ 專業活動 **PROFESSIONAL ACTIVITIES**

1. "Best Paper Award" July 3-5, 2012 Seminar presenter and receiver of "Best Paper Award" in the Conference of BAI2012 International Conference on Business and Information, for the topic of "Comparison of Effectiveness between Two Supervisory Systems in China: With and Without Audit Committees" in Sapporo, Japan. Available on <http://bai-conference.org>.
2. Feb. 20, 2009 Seminar presenter, in the Conference of ESRC Seminar Series on Corporate Governance and Banking Institutions for the topic of "The Effectiveness of Supervisory Boards in China" in Oriel college of Oxford University, U.K.
3. Apr. 23-24, 2009 Seminar presenter, in the Conference of China Postgraduate Network (CPN*) Conference 2009 for the topic of "The Contribution of Audit Committees in Improving Internal Auditing in China" in Manchester University, UK.
4. Oct. 24, 2008 Seminar presenter, in the Conference of ESRC Corporate Governance, Regulation and Development Seminar Series for the topic of "The Development of Supervisory Mechanisms of Corporate Governance in the West and China" in Birmingham University, U.K. Available on <http://www.business.bham.ac.uk/events/GRD/> (*The CPN Conference 2009 is

李寶珍 (PAO-CHEN LEE)

Email: lee_pao_chen@yahoo.com.tw & paochen@mail.knu.edu

supported by British Association of Chinese Studies, British Inter-University China Centre, White Rose East Asia Centre, University of Manchester Graduate Centre in Politics, University of Manchester Centre for International Politics, and University of Manchester Centre for Chinese Studies)

5. Appointed as a Representative of Business School. Since 2015, Appointed as a member for reviewing the curriculum and a representative of the business school administration as well as an participator in admissions activities and programs.
6. Funded as a Course Developer and Teacher Since 2015, Funded by Yuanzhi University as a course developer by introducing purchasing associations into schools to help students obtain a certificate of procurement. Students can obtain credit for the university degree and prepare to enter the workplace by getting the certificate of procurement simultaneously.
7. Funded for Teaching via Television and Internet Since 2016, Funded by Taiwan National Open University for teaching via television and internet. (1) Publish audit textbooks and (2) develop audit courses to (3) teach and video through television and online platforms.
8. Funded as an Adviser of the Taitung County Government (Taiwan) Since 2017, As an independent consultant of Taitung County Government for financial review such as cable television business and give constructive advices in the regular meetings.
9. Elected as a Representative of the School Board Since 2018, Elected as a school board member, teacher and student complaints committees to review school regulations and budgets, and provide constructive recommendations on school budget management and operation, as well as participate in the evaluation of complaint cases to reach a fair and better mechanism in campus.
10. Funded as an Author to Publish Examination Books Since 2019, Funded by Taiwan Academy of Banking and Finance, as an Author to publish examination books for the employees of the financial institutions to gain the licence by Internal Control certification exams.